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31133 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 28, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL929751221US, addressed to the Commissioner for Patents, Washington, DC 20231.

Sunshine Noriega

Sunshine Noriega

Attorney Docket No.: CISC251/4378

First Named Inventor: Lu, et al.

JC868 U.S. PTO

10/058722

01/28/02

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Commissioner for Patents

Box Patent Application

Washington, DC 20231

Signature

This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:

Yong Lu, Jin Zhang, Sunil Khaunte and Kartik Chandran

For: APPARATUS AND METHODS FOR RESTORING TRAFFIC DURING FAILOVER IN A CABLE HEAD END

Assigned to: Cisco Technology, Inc.

Application Elements:

☒ 53 Pages of Specification, Claims and Abstract

☒ 17 Sheets of informal Drawings

☒ 3 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

☒ Do not publish this application. Nonpublication Request is attached.

☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)

☐ 37 CFR 3.73(b) Statement by Assignee

☐ Information Disclosure Statement with Form PTO-1449

☐ Copies of IDS Citations

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other:

(Revised 11/00, Pat App Trans 53(b) Reg

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CISC251/4378

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	34	MINUS	20	= 14	x 18 =	252.00
INDEP.	4	MINUS	3	= 1	x 84 =	84.00
[ ] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740 =	740.00
TOTAL						1,076.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 5246 in the amount of \$1,116 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. CISC251).

General Authorization for Petition for Extension of Time (37 CFR §1.136)


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. CISC251).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: January 28, 2002

  
 Elise R. Heilbrunn  
 Registration No. 42,649

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Lu, et al.

Attorney Docket No.: CISC251/4378

Application No.: To Be Assigned

Examiner: To Be Assigned

Filed: January 28, 2002

Group: To Be Assigned

Title: APPARATUS AND METHODS FOR  
RESTORING TRAFFIC DURING FAILOVER  
IN A CABLE HEAD END

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Sunshine Noriega

**NONPUBLICATION REQUEST AND CERTIFICATION**  
**(35 U.S.C. 122(b)(2)(B)(i))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date:

1/28/02

  
Elise R. Heilbrunn  
Registration No. 42,649

**NOTE:**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).